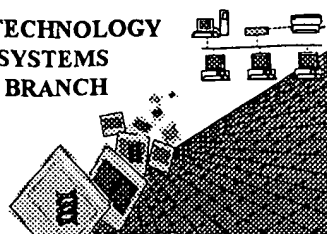


BIOTECHNOLOGY  
SYSTEMS  
BRANCH



05-50  
0404

**RAW SEQUENCE LISTING**  
**ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/994,227  
Source: 01PE  
Date Processed by STIC: 4/8/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE **CHECKER**  
**VERSION 3.1 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND  
TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)

2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202

3. Hand Carry directly to:

U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name,  
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

Or

U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,  
2011 South Clark Place, Arlington, VA 22202

4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,  
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

# Raw Sequence Listing Error Summary

## ERROR DETECTED

## SUGGESTED CORRECTION

SERIAL NUMBER: 09/994,227

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics  
Wrapped Aminos      The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2 Invalid Line Length      The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3 Misaligned Amino  
Numbering      The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4 Non-ASCII      The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5 Variable Length      Sequence(s) \_\_\_\_\_ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 PatentIn 2.0  
"bug"      A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) \_\_\_\_\_. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7 Skipped Sequences  
(OLD RULES)      Sequence(s) \_\_\_\_\_ missing. If intentional, please insert the following lines for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
This sequence is intentionally skipped  
  
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8 Skipped Sequences  
(NEW RULES)      Sequence(s) \_\_\_\_\_ missing. If intentional, please insert the following lines for each skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
- 9 Use of n's or Xaa's  
(NEW RULES)      Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents.
- 10 Invalid <213>  
Response      Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
- 11 Use of <220>      Sequence(s) \_\_\_\_\_ missing the <220> "Feature" and associated numeric identifiers and responses.  
Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section  
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12 PatentIn 2.0  
"bug"      Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13 Misuse of n      n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.



OIPE

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/994,227

DATE: 04/08/2002

TIME: 09:15:25

Input Set : A:\407T-899210US.txt

Output Set: N:\CRF3\04082002\I994227.raw

*pat-2*  
Does Not Comply  
Corrected Diskette Needed

3 <110> APPLICANT: FOGELMAN, ALAN  
4 NAVAB, MOHAMMAD  
6 <120> TITLE OF INVENTION: CONTROL OF A GENE INDUCED BY OXIDIZED LIPIDS IN HUMAN ARTERY  
WALL CELLS  
8 <130> FILE REFERENCE: 407T-899210US  
10 <140> CURRENT APPLICATION NUMBER: US 09/994,227  
C--> 11 <141> CURRENT FILING DATE: 2002-03-26  
13 <150> PRIOR APPLICATION NUMBER: US 09/539,569  
14 <151> PRIOR FILING DATE: 2000-03-31  
16 <160> NUMBER OF SEQ ID NOS: 3  
18 <170> SOFTWARE: PatentIn version 3.0  
20 <210> SEQ ID NO: 1  
21 <211> LENGTH: 303  
22 <212> TYPE: DNA  
C--> 23 <213> ORGANISM: Artificial  
25 <220> FEATURE:  
26 <223> OTHER INFORMATION: Probe  
28 <400> SEQUENCE: 1  
29 gaatgtgctg agttcagcaa atgtcttgac gctaagtcac caccataact gcttagaaac 60  
31 ccagaggaac tcgggtgaag ttaaataaat aaggaccagc cctctcgagc ccctcccaga 120  
33 gttattgcat ttctcctctc aaggagcatg gagtcccaat gggatgtgaa gagcctcacc 180  
35 tcccgtaggc tttcagcagc tgggagaggt cgtaatggg ctctgaaggt agctcagcgc 240  
37 actgttcgtg gagtggacag ggatggagac ggggaagttg aacacggtgg tggtagaggt 300  
39 gct 303  
42 <210> SEQ ID NO: 2  
43 <211> LENGTH: 20  
44 <212> TYPE: DNA  
C--> 45 <213> ORGANISM: Artificial  
47 <220> FEATURE:  
48 <223> OTHER INFORMATION: oligonucleotide  
50 <400> SEQUENCE: 2  
51 ggaactcagt ggaactcagg 20  
54 <210> SEQ ID NO: 3  
55 <211> LENGTH: 14  
56 <212> TYPE: RNA  
C--> 57 <213> ORGANISM: Artificial  
59 <220> FEATURE:  
60 <223> OTHER INFORMATION: ribozyme sequence  
62 <220> FEATURE:  
63 <221> NAME/KEY: misc\_feature  
64 <222> LOCATION: (1)..(3)  
65 <223> OTHER INFORMATION: n is a, c, g, or u  
68 <220> FEATURE:  
69 <221> NAME/KEY: misc\_feature

*insufficient response - give source of genetic material  
(see item 11 on Error Summary Sheet)*

## RAW SEQUENCE LISTING

DATE: 04/08/2002

PATENT APPLICATION: US/09/994,227

TIME: 09:15:25

Input Set : A:\407T-899210US.txt

Output Set: N:\CRF3\04082002\I994227.raw

70 <222> LOCATION: (9)..(13)  
71 <223> OTHER INFORMATION: n is a, c, g, or u  
74 <220> FEATURE:  
75 <221> NAME/KEY: misc\_feature  
76 <222> LOCATION: (5)..(5)  
77 <223> OTHER INFORMATION: n is a, c, g, or u  
80 <220> FEATURE:  
81 <221> NAME/KEY: misc\_feature  
82 <222> LOCATION: (4)..(4)  
83 <223> OTHER INFORMATION: b is c, g, or u  
86 <400> SEQUENCE: 3

*what about 'n' at location 14?*

W--> 87 nnnbngucnn nnnn

14

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/994,227

DATE: 04/08/2002

TIME: 09:15:26

Input Set : A:\407T-899210US.txt

Output Set: N:\CRF3\04082002\I994227.raw

L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:23 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:1  
L:45 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:2  
L:57 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:3  
L:87 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3